

conformance and not considered. Include copy of this form with next communication to applicant.

Sheetlofl #2

SERIAL NO.: 09/857,392 **FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO.: 478.1011 (REV. 7-80) PATENT AND TRADEMARK OFFICE LIST OF PRIOR ART CITED BY APPLICANT APPLICANT(S): D. GANDERTON, et al. (Use several sheets if necessary) FILING DATE: December 9, 1999 GROUP: Not yet assigned 16 D **U.S. PATENT DOCUMENTS** \*EXAMINER **DOCUMENT NUMBER** DATE NAME ~ **CLASS SUBCLASS** FILING DATE INITIAL IF APPROPRIATE AA AB FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER** DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO AD 9 0 9 3 06/02/97 WO A61K 9/00 9 2 AF 0 9 6 3 6 17/10/96 WO **XOTK** 9 AF 6 2 3 4 8 5 08/08/96 WO AGIK <del>9/00, 9/12</del> OTHER PRIOR ART (Including Author, Title, Date, Perlinent Pages, Etc.) Jean W. Tom and Pablo G. Debenedetti; Particle Formation with Supercritical Fluids – A Review; J. Aerosol Sci., Volume AG 22, No. 5, pp. 555-584, 1991 Mark Sacchettl and Michiel M. Vari Cori; Spray-Drying and Supercritical Fluid Particle Generation Techniques; Glaxo Wellcome Inc., Research Triangle Park, North Carolina, pp. 337-384 D.A.V. Morton; Aerosol Processing Methods Leading to Enhanced Powder Products; Extract from: Proceedings of the ΑI Aerosol Society Tenth Annual Conference, Swansea, 1996; Plenary Lecture; Edwards, et al.; Large Porous Particles for Pulmonary Drug Delivery; Science, Vol. 276, June 20, 1997; pp. 1868-1871 AJ Yamashita et al.; A Novel Formulation of Dry Powder for Inhalation of Peptides and Proteins; Respiratory Drug Delivery, VI, AK 1998; pp. 483-485 AL G. Röschelsen et al.; Preparation and Optimization of L-leucine as Lubricant for Effervescent Tablet Formulations; Pharmaceutica Acta Helvetiae 70 (1995); pp. 133-139 International Search Report; PCT/GB 99/04156; June 28, 2000 AM) EXAMINER \*EXAMINER: Initial if feterence considered, whether or not citation is in conformance with MPEP 609 / Draw line through citation if not in